



LGC&D Wealth Management, LLC  
A Registered Investment Advisor

## NOTICE OF BUSINESS CONTINUITY PLANS

---

Recognizing how important it is to you that we make every effort to keep the unexpected from interfering with our operations, we have developed a series of contingency and disaster recovery plans. These plans provide detailed procedures for dealing with those internal and external significant events that could disrupt business continuity.

The plans have the following goals:

- To prevent events and impacts that are within our control.
- To ensure the continued operation of all aspects of our business and services in any circumstances, to the extent possible.
- To speed the resumption of any disrupted business activities and the recovery of any loss of data as quickly as reasonably possible with minimal interruption, depending upon the nature and extent of the business disruption.

We have put in place contingency and disaster recovery plans that include the following:

- Backup and recovery techniques for all mission-critical systems.
- Alternate customer communications systems.
- Alternate work locations for essential personnel.
- Employee preparedness training.
- Procedures for notifying clients in the event of a service disruption, including instructions for contacting LGC&D personnel and support information.

Most types of service disruptions should not affect your access to your account or your ability to withdraw available funds.

Our contingency and disaster recovery plans are reviewed and updated at least once a year to ensure that they allow for changes in technology, business operations, regulations and physical facilities. This notice will be updated any time there are material changes.

For a current copy of this notice, go to <http://www.lgcdwealth.com> or contact LGC&D Wealth Management at 401-421-4800.